



PTO/SB/08a (05-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete If Known	
Application Number	09/904,707
Filing Date	July 12, 2001
First Named Inventor	Jeff N. Maggioncalda et al.
Art Unit	3629
Examiner Name	Thomas A. Dixon
Attorney Docket Number	59036-249737

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
TB	7	JP-HEI-6-35918	02/10/1994		considered drawings	No
	8	JP-HEI-6-295300	10/21/1994		considered drawings	No
	9	JP-HEI-7-84989	03/31/1995	NEC	considered abstract	No
DB	10	GB-2306713	07/05/1997	FRIEND		
	11	WO01/05793	04/25/2001	PMARMACIA	chemical case not relevant	

Examiner Signature	Thomas A. Dwyer	Date Considered	9/22/04
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 If possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08b(05-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

2

of

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Group Art Unit	3629
Examiner Name	Thomas A. Dixon

Attorney Docket Number

59038-249737

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
(H)	12	"Asset Allocation: Management Style & Performance Measurement", Sharpe.	
	13	"Interactive Software Eases 401k Planning", Anonymous, Bank Marketing, Vol. 26, No. 10, p. 10 (October 1994) – dialog copy 1 pg.	
	14	"Interactive Variable Control During Visualization of Mathematical Functions", IBM Technology Disclosure Bulletin, Vol. 34, No. 9, pp. 288-299 (February 1992) – XP002102286.	
(D)	15	"Microsoft Excel 97 Intermediate Level Exercise Workbook" Manual, Further Functions, Excel 97 Intermediate, Cheltenham Computer Training, www.cctglobal.com, pp. 26-30 (1995-2001).	
	16	"Elements of Financial Science", Takeaki Kanya, Toyo Keizai Shimpou, K.K., Chapter 5, pp. 71-101 and Chapter 7, pp. 117-133 (September 25, 1997).	No

Examiner Signature

Date Considered

9/22/04

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